

Readiness Proposal

With CCCCC for Grenada

22 December 2018 | NDA Strengthening & Country Programming



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Readiness and Preparatory Support Proposal Template

Programme title:	STRENGTHENING INSTITUTIONAL AND IMPLEMENTATION CAPACITY FOR DELIVERY OF CLIMATE CHANGE INVESTMENT PROJECTS: GRENADA
Country:	GRENADA
National designated authority:	Department of Economic and Technical Cooperation (DETC)
Implementing Institution:	Caribbean Community Climate Change Centre (CCCCC)
Date of first submission:	30 September 2018
Date of current submission / version number	3 December 2018 V.03



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How to complete this document?

- Please visit the [Empowering Countries](#) page of the GCF website to download the Readiness Guidebook and learn how to access funding under the GCF Readiness and Preparatory Support Programme.
- This document should be completed by National Designated Authorities (NDA) or focal points with support from their Delivery Partners where relevant. Once completed, this document should be submitted to the GCF by the NDA or focal point to countries@gcfund.org.
- Please be concise. If you need to include any additional information, please attach it to the proposal.
- If the Delivery Partner implementing the Readiness support is not a GCF Accredited Entity for project Funding Proposals, please complete the Financial Management Capacity Assessment (FMCA) questionnaire and submit it prior to or with this Readiness proposal. The FMCA is available for download at the [Library](#) page of the GCF website.

Where to get support?

- If you are not sure how to complete this document, or require support, please send an e-mail to countries@gcfund.org.
- You can also complete as much of this document as you can and then send it to countries@gcfund.org, copying both the Readiness Delivery Partner and the relevant GCF Country Dialogue Specialist and Regional Advisor. Please refer to the [Country Profiles](#) page of the GCF website to identify the relevant GCF Country Dialogue Specialist and Regional Advisor.
- We will get back to you within five (5) working days to acknowledge receipt of your submission and discuss the way forward.

Note: Environmental and Social Safeguards and Gender

Throughout this document, when answering questions and providing details, please make sure to pay special attention to environmental, social and gender issues, particularly to the situation of vulnerable populations, including women and men. Please be specific about proposed actions to address these issues. Consult Annex IV of the Readiness Guidebook for more information.

Please submit the completed form to:

countries@gcfund.org

Please use the following naming convention for the file name:

"GCF Readiness Proposal-[Grenada]-[180927]"



1. SUMMARY

<p>Country submitting the proposal</p>	<p>Country name: GRENADA</p> <p>Name of institution representing NDA or Focal Point: Division of Economic and Technical Cooperation Ministry with responsibility for Economic Development</p> <p>Name of contact person: Mr. Titus Antoine</p> <p>Contact person's position: Acting Director of Economic and Technical Cooperation (DETC)</p> <p>Telephone number: 1-473-435-8889/ 1-473-440-2731/+1-473-440-2732 1-473-534-9060 (M)</p> <p>Email:</p> <p>Full office address: Ministry of Finance, Planning, Economic Development and Physical Development. Financial Complex St. George's Grenada, West Indies</p> <p>Additional email addresses that need to be copied on correspondences:</p> <p>Hon. Simon Steil Position: Minister with Responsibility for Climate Resilience, the Environment, Forestry, Fisheries, Disaster Management and Information Full Address: Ministerial Complex, Botanical Gardens St. George's, GRENADA Telephone: 1-473-440-2708/3386/3078 (Office) 1-473-473-534-5104 (Mobile) Email: simonstiell@gmail.com</p> <p>Ms Ophelia Wells-Cronwall Position: Permanent Secretary, Minister for Finance, Planning, Economic Development and Physical Development. Full Address: Ministry of Finance, Financial Complex St. George's, Grenada, West Indies, St. George's, GRENADA Telephone: 1-473-440-2731/+1-473-440-2732 Email: opheliawells@hotmail.com ;</p> <p>Ms. Merina Jessamy Position: Permanent Secretary, Ministry of Climate Resilience, the Environment, Forestry, Fisheries, Disaster Management and Information Full Address: Ministerial Complex, Botanical Gardens St. George's, GRENADA Telephone: 1-473-440-2708/3386/3078 (Office) Email: mejessamy@gmail.com</p>		
<p>Date of initial submission</p>	<p>30 September 2018</p>		
<p>Last date of resubmission</p>	<p>3 December 2018</p>	<p>Version number</p>	<p>V.03</p>



<p>Which institution will implement the Readiness and Preparatory Support project?</p>	<p><input type="checkbox"/> National designated authority <input checked="" type="checkbox"/> Accredited entity <input checked="" type="checkbox"/> Delivery partner</p> <p>Please provide contact information if the implementing partner is not the NDA/focal point Name of institution: Caribbean Community Climate Change Centre Name of official: Dr. Kenrick Leslie Position: Executive Director Telephone number: +(501) 822-1104 Email: oed@caribbeanclimate.bz Full office address: Caribbean Community Climate Change Centre, Lawrence Nicholas Building, Ring Road, P.O. Box 563, Belmopan Belize, Central America</p> <p>Additional email addresses that need to be copied on correspondences:</p>
<p>Title of the Readiness support proposal</p>	<p>STRENGTHENING INSTITUTIONAL AND IMPLEMENTATION CAPACITY FOR DELIVERY OF CLIMATE CHANGE INVESTMENT PROJECTS: GRENADA</p>
<p>Type of Readiness support sought</p>	<p>Please select the relevant GCF Readiness activity area below (click on the box):</p> <p><input checked="" type="checkbox"/> I. Country capacity for engagement with GCF <input checked="" type="checkbox"/> II. Country programming process <input type="checkbox"/> III. Direct access to climate finance <input checked="" type="checkbox"/> IV. Climate finance accessed <input type="checkbox"/> V. Formulation of national adaptation planning and/or other adaptation planning processes</p>

**Brief summary of
the request**

This programme is intended to complement the two Readiness and Preparatory Funding Support already received from the GCF and to address readiness gaps related to technical and institutional capacity at the national level. It will also strengthen the country's technical and institutional capacity to effectively implement the projects already approved by the Fund and to efficiently deliver the projects in the country programme.

The outcomes and activities are summarized below:

OUTCOME 1: INSTITUTIONAL CAPACITY AND COORDINATION MECHANISM IN PLACE TO GOVERN AND COORDINATE CLIMATE ACTION AND FINANCE

Sub-Outcome: 1.1: Monitoring and verification systems for climate flows

Key Activity:

Technical support to the DETC, NDA and the Ministry responsible for Climate Resilience to manage the mobilization of financial resources for climate change and disaster management projects in line with Grenada's fiscal regulations and the appraisal and screening for projects in the project cycle

Sub-Outcome 1.5: Effective coordination mechanism between Green Climate Fund National Designated Authority (NDA) and National Designated Entity (NDE) for the UNFCCC Technology Mechanism and other climate change focal points

Key Activity:

Technical and advisory support to the National Focal Point (NFP) to the UNFCCC in the Ministry with responsibility for Climate Change and national UNFCC Convention Focal Points to enhance Grenada's capacity to implement its commitments under the UNFCCC and its Conventions, and the Kyoto Protocol.

OUTCOME 2: COUNTRY PROGRAMMING PROCESS

Sub-Outcome 2.3: Stakeholder engagement consultative process

Key Activities:

Technical support to the Ministry with responsibility for Climate Resilience and NDA to conduct Gender Sensitive Stakeholder Consultations to raise awareness about the newly established Project Preparation, Management, Development Unit (PPMDU), which will be housed within the Ministry of Climate Change, the Environment, Disaster Management, Forestry, Fisheries, and Information.

Technical support for the development and implementation of a data gathering and electronic web-based dissemination strategy and mechanism for the PPMDU to improve stakeholders access to climate change information;

Sub-Outcome 2.5: Appropriate Climate technology solutions identified and prioritized in accordance with national strategies and plans for climate change adaptation and mitigation

Key Activity

Technical support to the PPDMU to strengthen the country programming process by identifying appropriate climate smart technologies and climate change risk management for key sectors.

OUTCOME 4: CLIMATE FINANCE STRATEGIES AND PROJECT PIPELINE STRENGTHENED

Sub-Outcome 4.3: Project Concept Notes development

Activity

Technical support to the Ministry with responsibility for Climate Resilience and DETC to strengthen capacity to deliver the pipeline of climate change investment projects in the country programme through the establishment of a project identification, preparation, development and management unit (PPDMU) in that Ministry.

The technical and advisory support will include: alignment of pipeline project results with GCF investment criteria; elaborating the climate change adaptation/mitigation impact; development of the theory of change; and development of concepts and proposals with AE/DP to ensure country ownership and priorities are prioritized. To realize these objectives, professionals will be contracted to: develop project ideas based on the National Adaptation Plan (NAP), INCDs, International priorities and commitments, Government priorities as outlined in national policy document and strategies plans etc.; prioritization and development of project concepts for these priority projects (Pipeline); development of full proposals for projects approved by Cabinet; and review national and regional projects submitted by development partners. **Government has identified this activity as central and of the highest priority to ensuring country ownership and ensuring that climate change investment projects are aligned to national priorities. The activity provides for the consultants to be understudied by local staff with the capacity to upgrade their skills and experience to take responsibility for this activity at the end of the assignments of the Consultants.**

Under the first Readiness the NDA, which is in the Ministry of Finance benefited mostly since that Readiness focus mainly on NDA Strengthening; whereas this proposed Readiness focus mainly on capacity building for project development and will directly impact the Ministry of Finance, Ministry of Climate Resilience and the Grenada Development Bank.

The Ministry of Climate Resilience is a new ministry with new staff that has little to no experience with the Green Climate Fund; therefore, this Readiness is critical to building the capacity needed to implement initiatives currently in train with GCF. These include the 9 proposals from NYU and another 9 proposals from GIZ that will make up Grenada Country Program currently being developed as well as other proposal that may emerge during the implementation of this Readiness.

The implementation of the second Readiness delivered by NYU will engage primarily the National Water and Sewerage Authority, The Airports Authority, the Ministries of Education, Energy, Infrastructure as well as the Evaluation Division that deals with lands, the Physical Planning Unit that deal with building codes. However, in order to properly develop the 9 proposals there are capacity gaps within the beneficiary entities, for example there is still a need to provide training for personnel in the operations and updating climate modeling, GHG emissions modeling, drainage network mapping and the construction of information data systems which are essential for the proper development of these new concept notes. While trainers are already secured to close the capacity gaps, the cost of facilitation and materials are still needed. These training sessions will include persons from several entities including NAWASA, TAMCC (Callege), The Airport Authority, Ministries of Education, Energy, Environment, ICT and Infrastructure (Works), just name a few.

	<p>In summary, both Readiness Projects (GIZ and NYU) will introduce some key Ministries including Finance, Energy, Buildings and Infrastructure, Transport, Agriculture, and Health to the GCF processes and procedures. Similarly, through the activities being implemented under both Readiness Projects other public sector, private sector and civil society groups will benefit through increase awareness, capacity and products from the roll-out of the first two Readiness. This third Readiness builds on the previous two but whereas those focused on systems and specific regions in Grenada, this Readiness focuses on empowering these said entities, among others, to develop and implement bankable GCF projects as well as action several systems developed under the NDA strengthening programme. Further, this Readiness Proposal will engage with other ministries including the Ministry of Carriacou and Petite Martinique Affairs.</p>		
<p>Total requested amount and currency</p>	<p>USD \$399,929.00</p>	<p>Anticipated duration</p>	<p>24 months</p>
<p>Has the country received or is expecting to receive other Readiness and Preparatory Support funding allocations (including adaptation planning) from GCF or other donors?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>READINESS & PREPARATORY SUPPORT RECEIVED FROM THE GCF AND UNDER IMPLEMENTATION</p> <ol style="list-style-type: none"> 1. Getting Grenada GCF-Ready (3G) - Establishing and strengthening the National Designated Authority (NDA) and development of a strategic framework for engagement with the Fund, including the preparation of a country programme with the GIZ¹ as delivery partner 2. Climate Resilient Cities: Grenada with New York University as delivery partner. 		

2. BACKGROUND

Please explain how this grant will help deliver on the country's readiness needs and build on institutions, processes or existing work already underway in the country. Specify the country's current situation in the context of climate change, existing capacities that the proposal will build on and the key barriers or gaps that will be addressed through the different outcomes. Please also describe past and ongoing efforts undertaken by public and/or private sectors, and other complementary GCF grants or funding proposals. Please refer to the Guidebook for more specific information on completing this section. (300 words maximum)

Grenada is developing a robust and ambitious pipeline of projects in its country programme to further strengthen its engagement with the Fund to achieve its climate change (adaptation and mitigation) goals and commitments as outlined in its Intended National Contributions (INDC) 2015 and its National Adaptation Plan (NAP).

As a small island developing state Grenada is particularly vulnerable to the impacts of climate change, as evidenced by the impacts of extreme events and the occurrences of increased forest fires, crop loss, water shortages and incidence of pests and diseases occurring in recent years. Climate change events from extreme weather events and sea level rise affects all key economic and social sectors, and public and private infrastructure. As such, Grenada recognizes the need to reduce its vulnerability and strengthen the resilience of its land and people to the projected impacts of climate change. The NAP identifies 9 programs of actions and estimates the cost of implementing these actions at US\$246 million².

¹ Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ) GmbH

² Priorities areas identified for resilience building include:

- a. Enhancing institutional framework to establish an integrated and coordinated approach to addressing climate change to minimize capacity gaps while ensuring coherence and cohesion at the local and national level;

However, it is recognized that implementation of actions to reduce the level of vulnerability and build resilience and adaptive capacity is severely constrained by the lack of capacity, human resources, technology, financial resources, data, knowledge and awareness. It is against this background that this programme is expected to contribute significantly to addressing both directly and indirectly some of the capacity constraints.

Grenada is committed to energy reform and implementing mitigation strategies that will reduce GHG emissions, promote sustainable development and facilitate Grenada's drive towards becoming a low-carbon economy. Actions taken by Government to achieve this goal include the passing of the Electricity Supply Act (Act #19 of 2016) and the Public Utilities Regulatory Commission Act (Act # 20 of 2016). The Public Utilities Regulatory Commission (PURC) has been established and work has been done for the preparation of the regulations to guide the implementation of the Act. This is at an advanced stage. It is conservatively estimated that the cost to implementation actions to achieve Grenada's mitigation targets (INDC) is US\$161; therefore, it is critical to mobilize climate financing to implement these actions. This readiness will action some activities that will bolster the capacity of the Government of Grenada to mobilize climate financing.

Another capacity constraint that readiness addresses, is the action-ing of an effective coordination mechanism and a Project Preparation Management and Development Unit (PPMDU). The IMF Country Report #. 18/236 (July 2018) identified institutional bottlenecks as a major constraint in capital investment project execution. Lack of technical capacity and weak coordination of project implementation activities were also considered as major contributors. Climate change affects all sectors of the country to support country ownership of climate action investment implement partners and stakeholders must be equipped with the required skills and competences. The institutional arrangement must also be in place to inform, manage, and coordinate climate actions. Recognizing this deficiency and considering the potential significant adverse impact of climate change related events on the country's economic and social development and on the environment and the livelihood of rural communities in particular, Government in March 2018 created a new Ministry with specific responsibility for Climate Change, the Environment, Disaster Management, Forestry, Fisheries, and Information. Three Ministers are assigned to this Ministry which is headed by the Minister with responsibility for Climate Change. In order to fulfil its mandate the Ministry with responsibility for Climate Change has set among its critical priorities the establishment of the PPDMU to provide technical support in climate smart project and investment development, and at the policy level to appoint a competent National Climate Change Focal Point to coordinate engagement with the UNFCCC and its Conventions and ensure that the country is properly represented in these forums and meet its signed commitments and obligations to these International Institutions. This Readiness Proposal is critical to realize the establishment of the PPDMU and putting place a coordinating mechanism that spans the life cycle of a project, from development to implementation.

Other areas that need immediate intervention are climate change risk assessment, legislative environment and management and information management. This Readiness Proposal sets the stage for subsequent Readiness Proposals directed at these areas and auctioning detailed risk assessments for various sectors and information dissemination. Limited work has been done on detailed Climate Change Risk Assessments for the priority sectors and subsectors in Grenada. This needs to address. Through this Readiness Proposal a Desk Review of climate vulnerability and risk to various sectors (agriculture (crops, livestock, fisheries), infrastructure, energy, tourism, and coastal and marine environment) will be conducted. This will allow for the identification of gaps in understanding the climate vulnerability and risk associated with these sectors. Similarly, a Gap Analysis of the existing legislative framework, with guiding notes for project development, will be conducted. This Readiness Proposal will also raise greater awareness of, and improve access to, available documentation, reports, data, and resource information for developing evidence-based recommendation to guide investment decision making is a major challenge. Through prudent stakeholder consultations and coordination among the PPMDU, NDA and the National Climate Change Focal Point, information flow will be bi-directional, allowing for feedback from critical stakeholders.

Grenada is currently benefitting from Readiness and Preparatory Support facilities from the GCF in the areas listed below. The programme will focus on strengthening the institutional and technical arrangement and capacities to complement these actions. This Readiness Proposal takes into consideration past, ongoing and future projects that the Government of Grenada has in conjunction with GCF support. Past, ongoing and future projects include:

- b. Building coastal resilience to protect beaches, coral reefs and mangroves and Integrated Coastal Zone Management to facilitate an integrative planning and management processes to preserve and enhance coastal ecosystems and ecosystem services while enabling social and economic development.
- c. Improving water resource management to promote and maintain equitable and sustainable use of the water sources and their watersheds as well as improved capture, storage, distribution and conservation of water increase the adaptive capacity of individuals and communities.
- d. Building the resilience of communities to adopt actions to reduce their vulnerabilities and further build their resilience.

1. Readiness Support:

- i. *Getting Grenada GCF-Ready (3G)* - Establishing and strengthening the National Designated Authority (NDA) and development of a strategic framework for engagement with the Fund, including the preparation of a country programme with the GIZ as delivery partner. The proposal was approved in 2017 for an amount of up to 320,531€ and for an anticipated duration of 18 Months. Implementation is in progress. The Readiness Proposal is expected to:
 - a. enhance the capacity of the NDA to perform its roles and responsibilities through effectively coordinate with line ministries, statutory bodies and development partners on accessing the Fund.
 - b. Developing strategic frameworks, including preparation of a country programme, and effective stakeholder engagement processes.

With the outputs from 3G, the NDA would be equipped with frameworks, guidelines, strategies procedure and manuals; however, it is realized that human and financial capital required to action these are limited.

This proposal acutely recognizes this and hence shape it activities around action-ing the outputs of 3G, which are critical to effective implement climate actions in Grenada. The PPMDU and the consultant to be embedded in DETC are critical to realizing the pipeline of projects, further develop/update the country programme and engage with stakeholders throughout the project cycle. One specific area of complementarity is web-portal for the Monitoring and Reporting System. This Readiness Project is expected to build on system by building capacity to update and maintain and continuously disseminate information as well as mainstreamed its operations into budgetary allocations of the Government of Grenada. This is critical for sustainability.

- ii. *Climate Resilient Cities: Grenada with New York University as delivery partner.* The proposal focuses on five key components of a comprehensive readiness program to make the cities of St. George and Grenville climate resilient, in the short-, medium-, and long-run. Support is provided under the readiness area Strategic frameworks, including the preparation of country programmes This readiness proposal is designed to transfer knowledge from NYU and international consultants at the local level and generate nine project concepts to be included in the Country Programme and detailed feasibility studies for these projects. The proposal was approved in 2018 for an amount of US\$600,855 and an anticipated duration of 18 Months. Implementation is in progress.

Similar to the outputs of 3G, the PPMDU and the consultant to be embedded in DETC are critical to realizing these pipeline of projects expected to be developed under the Climate Resilient Cities Readiness. The PPMDU and the consultant to be embedded in DETC will directly interface with selected AEs, NDA and GCF as well as review, appraise and propel (develop and submit) these project going forward. Further, the Climate Resilient Cities Readiness focuses on a specific area of Grenada; whereas, the PPMDU will be working with several ministries and entities with various responsibilities in different localities. One example is the Ministry of Carriacou and Petite Martinique Affairs and Local Government and Legal Affairs. Carriacou and Petite Martinique are smaller islands (relative to main land Grenada) in the Grenadines that vulnerable to extreme climate and climate change events. Given the PPMDU interactions will several stakeholders, including those involve in the implementation of the Climate Resilient Cities, it will be empowered through the lessons learnt from the implementation and development of concepts under the 3G and Climate Resilient Cities.

2. GCF Approved Projects³

³ GCF approved Projects include:

- i. *Climate-Resilient Water Sector in Grenada (G-CREWS):* This Project introduces a complete paradigm shift in the water sector of Grenada (and Carriacou), and will contribute to system-wide climate change resilience in the water and sanitation sector. It focuses on the following areas of action: improved water governance; improvements to the water system infrastructure to increase and improve supply and distribution capacity; more efficient use of water by households, agricultural producers and businesses; and Greater efficiency in NAWASA's overall system. The project was approved in 2018 with GCF grant funding in an amount of 35.357 million euro (€) and is to be implemented over a period of six years. Conditions precedent to the implementation of the project are currently worked on.
- ii. *Enhancing Direct Access (EDA) in the Eastern Caribbean:* The project is to build integrated resilience of individuals and their businesses, communities and governance systems through responsive grant and loan award mechanisms that are transparently managed through devolved decision-making by those affected by climate change. The project will be implemented in Antigua and Barbuda, Dominica and Grenada (Eastern Caribbean)

It is expected that this readiness will support implementation of the projects: Climate-Resilient Water Sector in Grenada (G-CREWS); Enhancing Direct Access (EDA) in the Eastern Caribbean; and, GEEREF NeXt. This Readiness Proposal will lend support to the monitoring and evaluation and capacity building for the implementation of GCF projects. It will also support stakeholder engagement and utilise the lessons learnt in the develop of other climate actions. Lessons learnt will also be disseminated to critical stakeholders through the PPMDU, the NDA and CCCCC regional clearinghouse, among other media.

and has four components: Establish transparent decision-making processes and build capacity; award grants for adaptation in pilot public infrastructure projects; award small adaptation grants to CSOs and NGOs; and award concessional Revolving Loans to "un-bankable" homes and businesses. The implementing Entity is Department of Environment, Antigua and Barbuda and the Executing Entities are the Department of Environment, Antigua and Barbuda; the Ministry of Environment, Commonwealth of Dominica; and Ministry of Environment, Grenada. The project was approved by the GCF in 2018 with grant funding of US\$20 Million and is now in the implementation phase.

- iii. *GEEREF NeXt*: This is an innovative investment vehicle, bringing together public and private investors to finance renewable energy and energy efficiency projects in frontier markets, where private investors are unwilling to go alone. Grenada one of the potential beneficiaries from this project which was approved in 2017. The European Investment Bank (EIB) is the Accredited Entity for this project which is funded with a GCF blended facility of USD 265 m contribution from the GCF to the GEEREF NeXt programme: USD 250 million for the GEEREF NeXt investment vehicle and USD 15 m for a technical assistance ("TA") facility ("TAF").

LOGICAL FRAMEWORK AND IMPLEMENTATION SCHEDULE																										
Outcomes	Baseline⁴	Targets	Activities⁵ (brief description and deliverables)	Anticipated duration: 24 months																						
				Monthly implementation plan of activities⁶																						
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Outcome 1: Institutional Capacity and coordination mechanism in place to govern and coordinate climate action and finance	Sub-Outcome: 1.1: Monitoring and verification systems for climate flows	1	2	<p>Activity 1.1.1: This activity involves contracting an embedded expert (preferably local/regional) full time for a period of 24 months to provide support to DETC⁷ the NDA⁸ to manage finance and investment flows of resources for climate change and disaster management projects in line with Grenada's fiscal regulations (This consultant will also be responsible for deliverables associated with Capacity (human and institutional) of the NDA office to develop a NAP readiness proposal, track climate finance and investment flows, and appraise and screen projects in the project cycle enhanced by the compilation of documentation on all potential climate finance sources to include but not limited to: instruments (Country Framework Documents, agreements, MOU other), type of funding (grants, concessionary loans, commercial loans, technical assistance), Underlying interests/ Priorities; and Process for Accessing Funds (Call for proposals, Concept Note, Letter of Interest, Full proposal). To further manage financial and investment resources for climate action, a Climate finance and investment flow strategy and tracking mechanism will be developed. It is envisioned that this strategy will foster a national strategic approach which is coherent and promote complementarity across all climate funds.</p> <p>Deliverables 1.1.1:</p> <ol style="list-style-type: none"> 1. A NAP readiness proposal developed and submitted to the GCF. Timeframe: 6 months after first disbursement. 2. Appraisal and screening process for GCF Projects in the project cycle (idea, concept, pipeline, approved, implementation started, ex-post evaluation) established. 																						

⁴ For baselines rated at 1 or 2, please shortly elaborate on current baselines on which the proposed activities can be built on, processes that are in place that the current Readiness proposal can strengthen, or any gaps that the proposed activities would fill in. If more space is needed, please elaborate this in Section 2.

⁵ Please include tangible and specific deliverables for each activity proposed, and the timeframe (month number) in which it will be delivered to GCF. Please note that during implementation all deliverables should be included within the implementation reports for GCF consideration.

⁶ If the duration of the proposal is longer than 24 months, please change the monthly columns to indicate 2 or 3 months each (e.g. change month "1" to month "1-2" or "1-3").

⁷ Department of Economic & Technical Cooperation

⁸ National Designated Authority

Outcome 4: Climate finance strategies and project pipeline strengthened	Sub-Outcome 4.3: Project Concept Notes development	1	2	<p>Activity 4.3.1: This activity is to strengthen the capacity of the Ministry with responsibility for Climate Change to deliver the pipeline of climate change projects in the country programme through the establishment of a project identification, preparation, development and management unit (PPDMU)¹⁰ in the Ministry with responsibility for Climate Resilience. The PPDMU is expected to work closely with other ministries to build their capacities whilst at the same time developing bankable projects for the GCF. It is also expected that the PPDMU will carry out stakeholder consultations, reaching a wide cross-section of stakeholders, and building capacity among these stakeholders to develop and implement GCF Projects.</p> <p>To accomplish this the Ministry will contract two (2) local professionals¹¹ for a period of two (2) years maximum to:</p> <ol style="list-style-type: none"> Conduct training on the development and implementation of GCF projects with a wide cross-section of stakeholders. Advance project ideas, including at least one identified in the Readiness Project titled, "Climate Resilient Cities: Grenada", as well as others aligned with the National Adaptation Plan (NAP), INCDs, International priorities and commitments, Government priorities as outlined in national policy document and strategies plans etc. (Project Bank). Develop project concepts for climate change actions based on Grenada's priorities; In consultation with stakeholders prepare concept notes to update the pipeline of projects; In consultation with stakeholders Develop full proposals for projects approved by Cabinet; Review and make recommendations on no objection for national and regional projects submitted by development partners and conduct performance evaluation of staff assigned to the unit to understudy the consultants. <p>PPDMU will also work closely with DETC to aid with appraisal of projects, design projects that fit with funding source requirements and hence mobilize climate change finance. An effective reporting system</p>																																									
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¹⁰ PPDMU will comprise of two (2) project developers, which will be housed in the Ministry of Climate Change, Environment, Fisheries, Forestry and Disaster Management.

¹¹ At least 1 existing staff member will understudy these consults and provide support to the work of the PPMDU.

				<p>and information sharing system will be implemented. Currently, NYU is implementing a Readiness Project, which includes the identification and articulation of several concept notes, this Readiness project will build on the work of the NYU Readiness by turning those concept notes amongst others into funding proposal. This unit will also maintain and update the country work programme and priority of projects for Grenada.</p> <p>Deliverables 4.3.1:</p> <ol style="list-style-type: none"> 1. Training plan developed and implemented for the development and implementation of GCF projects. Timeframe: 4 month after first disbursement 2. TOR for the PPDMU Developed. Timeframe: 1 month after first disbursement. 3. Project Identification, Preparation, Development and Management Unit (PPDMU) established. Timeframe: 3 months after first disbursement. 4. A system for reporting and coordinating between DETC and PPDMU implemented. Timeframe: 4 months after first disbursement. 5. Database of projects developed and Mechanism for continuously updates established. Timeframe: 6 months after first disbursement. 6. At least 3 Full Proposals developed. Timeframe: 24 months after first disbursement. 																																			
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4. ADDITIONAL INFORMATION (ONLY FOR ADAPTATION PLANNING SUPPORT)

This section is only to be completed when seeking support for formulation of national adaptation plans and/or other adaptation planning processes. Please see Part 3 Section 4 in the Readiness Guidebook and please limit to maximum of 500 words.



5. BUDGET, PROCUREMENT, IMPLEMENTATION, AND DISBURSEMENT

5.1 Budget plan

Please complete the Budget Plan in Excel using the template available in the [Library](#) page of the GCF website.

See Annex for Budget.

5.2 Procurement plan

Please complete the Procurement Plan in Excel using the template available in the [Library](#) page of the GCF website. For goods, services, and consultancies to be procured, please list the items, descriptions in relation to the activities in section 2, estimated cost, procurement method, relevant threshold, and the estimated dates. Please include the procurement plan for at least the first tranche of disbursement requested below and provide a full procurement plan for the entire duration of the implementation period if available at this stage.

See Annex for Procurement Plan.

5.3 Disbursement schedule

Please specify the proposed schedule for requesting disbursements from the GCF. For periodicity, specify whether it's quarterly, bi-annually or annually only.

Please choose one option among the two below and delete the one that does not apply to you. Please fill in information under brackets:

Readiness Proposal that falls within a Framework Agreement with the GCF

Disbursements will be made in accordance to Clause 4 "Disbursement of Grants" and Clause 5 "Use of Grant Proceeds by the Delivery Partner" of the Framework Readiness and Preparatory Support Grant Agreement entered into between GCF and Caribbean Community Climate Change Centre dated 5th June 2017. The Delivery Partner is entitled to submit 2 request(s) for disbursement each year.

Readiness Proposal that requires a bilateral Grant Agreement to be signed with the GCF (please add more disbursement as needed)

- The first disbursement *amounting* [Choose Currency] [Type the amount] will be transferred upon approval of the readiness request and effectiveness of the Grant Agreement;
- The second disbursement *amounting* [Choose Currency] [Type the amount] will be transferred upon submission of an interim progress report [and audited financial report]¹², in form and substance acceptable to the Fund, [including an audited expenditure statement]; and
- The third disbursement *amounting* [Choose Currency] [Type the amount] will be made upon submission of a completion report and financial report, in form and substance acceptable to the Fund, including an audited expenditure statement.

¹² For second disbursement, audited financial report and audited expenditure statement are only required for readiness and preparatory support proposals expected to last over 12 months.

6. IMPLEMENTATION ARRANGEMENTS AND OTHER INFORMATION

6.1 Implementation map

Please describe how funds will be managed by the NDA and/or the Readiness Delivery Partner.

Please describe the differing responsibilities of the NDA and their Delivery Partner for the management of the grant, procurement, implementation of activities, project oversight, monitoring, and reporting. If applicable, please also describe any delegation of responsibility from the Delivery Partner to another Executing Entity.

The project will be led by the Government of Grenada through the GCF NDA in the Ministry of Finance, Planning, Economic Development and Physical Development, with support from the Caribbean Community Climate Centre (CCCC), as the delivery partner. CCCCC, under the leadership and guidance from the NDA, will be responsible for overall execution of the project and for timely achievement of project results, guided by the Framework Readiness and Preparatory Support Grant Agreement. This includes the recruitment and selection of consultants, provide financial and technical oversight services for the outcomes and outputs, and project monitoring, reporting and evaluation.

On a day-to-day basis, the project will be implemented by the project team, under the direct supervision of the Project Development and Management Unit (PDMU), CCCCC. The CCCCC will be responsible for: ensuring appropriate use of resources for implementation of the activities in accordance with the CCCCC fiduciary system, the project document, budget and the work plan, and that GCF and CCCCC standards and procedures are followed, including those set out in the Framework Readiness and Preparatory Support Grant Agreement between the GCF and CCCCC.

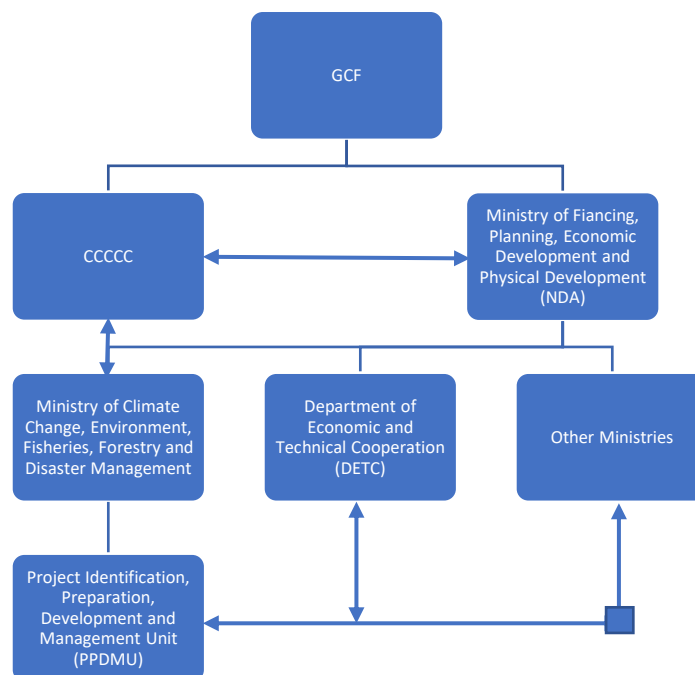


Figure 1: Implementation and Reporting Plan

The CCCCC will report to the GCF in accordance with its Framework Agreement with the GCF. This include: bi-annual portfolio reports (interim progress reports), an annual audit due within the first three months of the year and a completion report. The NDA is expected to sign-off on the interim progress and completion reports as well as review and comments on all deliverables. Where applicable the GCF feedback on deliverables will be requested. Given that most of the activities planned under this Readiness will aim to build the capacity of the PPDMU and DETC, interaction between these entities and the CCCCC and these entities and the NDA will be critical to delivering this Readiness as well as monitoring and reporting to the GCF. PPDMU and DETC interaction with other ministries and other stakeholders is also important to realizing the outcomes of the project.

The CCCCC has been identified as the delivery partner for the Readiness programme, but is also an Accredited Entity (AE) of the Fund. To avoid any possible conflict of interests in the CCCCC role as an AE and delivery partner, particularly as it relates to the prioritization of investments and projects to be developed, the NDA of Grenada will take the lead in implementing these activities. This is in accordance with the GCF Board Decision GCF/B.06/07 on Country Ownership, the NDA of Grenada will be responsible for country coordination and multi-stakeholder engagement to provide inputs to the development of national priorities, and has identified the following mechanisms to manage this conflict of interest:

- i. The prioritization of investments and projects to be developed will be made through a broad consultation process with relevant stakeholders, including other potential implementing entities for Grenada; and,
- ii. The final validation of these priorities will be carried out under the process being established by the government, to ensure chosen priorities are aligned with national plans and strategies and includes the inputs from consulted stakeholders.
- iii. CCCCC will have no part in influencing the country priorities. As the regional organization with the mandate to coordinate the Region's (CARICOM) response to climate change, the CCCCC (as an accredited entity (AE), utilizes a bottom-up approach to build its entity pipeline of project, which are derived from country priorities. These country priorities are identified through a nationally driven process which consider among other things, the climate vulnerability and risk of that member state, National plans and strategies, economic conditions, stakeholder and gender consideration, and environmental ramifications.

After approval of the Grant, a notification letter will be sent by the GCF to the NDA. The date of such notification of approval by the GCF will be treated as the start date for project implementation and from that date, the end date of implementation will be calculated taking into account the total duration of the project as presented in the approved proposal.

6.2 Risks, monitoring and evaluation (M&E), and other relevant information

Please include a set of identified risks and mitigation actions for each, monitoring plan, and any other relevant information you wish to bring to the attention of the GCF Secretariat but has not been included in the sections above.

Type of risk	Risk	Measure (Low, Moderate, High)	Mitigation
Management Risk	Poor management of this readiness.	Low	Implementation and reporting structure as is set out in the Framework Agreement between the CCCCC and GCF mitigate any risk associated with mismanagement. Further, the CCCCC pride itself on the sound fiduciary and reporting standards it has demonstrated to today. CCCCC take full ownership of this readiness.
Delay in the start of project activities	Timely submission of disbursement request to be processed by the GCF for the early receipt of funds.	Medium	GCF-CCCCC Framework Agreement is already in place. This should minimize time to disbursement.
Cost and Market Risk	Inflationary pressures and exchange rate deviation could result in the reduction in the	Low	To CCCCC has no control over inflation; however, the CCCCC will as much as possible negotiation with suppliers in accordance with the budget. To mitigate risk associated with, although low given



	purchasing power of the monies budgeted.		that Grenada uses a currency that is pegged to the USD, the CCCCC holds all funds associated with this readiness in US dollars. This eliminates how changes in the local currency could affect the funding for the project.
Financing Risk	Funding not received in a timely manner to implement this readiness.	Low	Once funding for this readiness is approved by the GCF, the existing Framework Agreement between the CCCCC and GCF will govern the request for disbursement and disbursement of funding for this readiness. CCCCC will make request of disbursement in accordance with the Framework Agreement and timely manner.
Operational Risk	Bottlenecks: Procedure and Processes limits the realisation of activities and outputs	Low	CCCCC, as an accredited entity to the GCF, is guided by its internal policies and procedures that are aligned with international best practice including those of the GCF. Additionally, as a regional organization, the CCCCC is entitled to certain privileges and immunities such as exemption from eligible taxes and duties accorded under Article XIX and Article XXVI of the Caribbean Community Climate Change Centre (CCCCC) Act, 2015 and Article 19 of the Agreement Establishing The Caribbean Community Climate Change Centre (CCCCC). Further, through built relationship with several ministries and entities the CCCCC is able to overcome bottlenecks.
Schedule Risk	Delays in the implementation of activities.	Moderate	To mitigate delays the CCCCC will conduct periodic review and convene internal meetings to identify potential delays and, where necessary, make adjustment to optimal implement all activities of this readiness.
Environmental Risk	External Hazards and Climatic Natural Disasters: Grenada sits directly within the hurricane belt and experiences climatic natural disasters including hurricanes/tropical cyclones, flooding and drought. These extreme climatic events have the potential to disrupt and delay the implementation of some activities identified in this readiness.	High	To mitigate the potential impacts of climatic natural disasters, activities that will be implemented will take into consideration the hurricane season and as much as possible avoid implementing activities during these period.
Sustainability Risk	Appointment of Officers assigned to understudy Consultants on conclusions of assignments	Moderate	Assignment of persons to understudy the consultants with the skill sets to benefit from the engagement and Annual performance evaluation of performance of officers and recommendations for



			appointment or replacement based on performance

5.1 Budget Plan

Please add rows for Outcomes, Outputs and Cost Categories as required. Additional budget categories may be added by manually typing them on the Budget Category sheet.

Outcomes	Budget Categories <small>choose from the drop-down list</small>	Detailed Budget (in US\$)					Total Budget (per sub-outcome)	Total Budget (per outcome)	Disbursement Plan					
		Unit	# of Unit	Unit Cost	Total Budget (per budget category)	6m			12m	18m	24m	30m	36m	
Outcome 1: Institutional Capacity and coordination mechanism in place to govern and coordinate climate action and finance	1.1: Monitoring and verification systems for climate flows (Linked to Activity 1.5)	Consultant - Individual - Local/Regional	W/Day	245	185	45,325	49,575	99,150	15,108	15,108	15,109			
		Travel - International	Trips	1	4,250	4,250			4,250					
	1.5: Effective coordination mechanism between NDA and NDE for the UNFCC Technology Mechanism and other climate change focal points (Linked to Activity 1.1)	Consultant - Individual - Local/Regional	W/Day	245	185	45,325	49,575		15,108	15,108	15,109			
		Travel - International	Trips	1	4,250	4,250			4,250			4,250		
Outcome 2: Country Programming Process	2.3: Stakeholder engagement consultative process	Professional Services – Companies/Firm	W/Day	30	300	9,000	31,000	35,500	4,500	4,500				
		Workshop/Training	Workshop	2	8,000	16,000			8,000		8,000			
		Audio Visual & Printing	-	2	3,000	6,000			3,000			3,000		
	2.5: Appropriate Climate technology solutions identified and prioritized in accordance with national strategies and plans for climate change adaptation and mitigation	Workshop/Training	Workshop	1	4,000	4,000	4,500		4,000					
Travel – Local		W/Day	5	100	500	500								
Outcome 4: Climate finance strategies and project pipeline strengthened	4.3: Project Concept Notes development	Consultant - Individual - Local/Regional	W/Day	490	185	90,650	194,300	194,300	22,662	22,663	22,662	22,663		
		Consultant - Individual - Local/Regional	W/Day	490	185	90,650			22,662	22,663	22,662	22,663		
		IT Equipment	Equipment	1	5,000	5,000			5,000					
		Office Supplies	Supplies	1	3,000	3,000			2,000			1,000		
		Travel – Local	W/Day	50	100	5,000			2,500			2,500		
Total Outcome Budget								328,950	109,290	80,042	94,292	45,326	-	-
Project Management Cost (PMC) <small>Up to 7.5% of Total Activity Budget</small>	Consultant - Individual - Local/Regional	Month	24	800	19,200	23,200	Percentage of PMC requested: 7.1%							
	Audit Fee	Lumpsum	2	2,000	4,000									
	Travel - International	Trips	-	-	-									

FOR GREEN CLIMATE FUND SECRETARIAT'S USE ONLY

Breakdown (per budget category)	Total (per budget category)
Audio Visual & Printing	6,000
Audit Fee	4,000
Consultant - Individual - International	-
Consultant - Individual - Local/Regional	291,150
Professional Services – Companies/Firm	9,000
IT Equipment	5,000
Office Supplies	3,000
Travel - International	8,500
Travel – Local	5,500
Workshop/Training	20,000
Focus Group	-
0	-
0	-
0	-
0	-
Total Outcome Budget + PMC	352,150

FOR GREEN CLIMATE FUND SECRETARIAT'S USE ONLY

Total Outcome Budget		\$ 328,950
Project Management Cost (PMC)	7.1% requested	\$ 23,200
Contingency	5% requested	\$ 16,448
<hr/>		
Sub-Total (Total Outcome Budget + Contingency + PMC)		\$ 368,598
Delivery Partner Fee (DP) - Up to 8.5% of the Sub-Total		\$ 31,331
<hr/>		
Total Project Budget (Total Activity Budget + Contingency + PMC + DP)		\$ 399,929

Budget Note	Detailed Description
Activity 1.1.1 and Activity 1.5.1	One Consultant - Individual - Local/Regional will be hired for 2 years (245 work days) at a rate of US\$190 per day. This consultant will deliver all deliverables associated with Activities 1.1.1 and 1.5.1. Budgetary allocation is made for 2 international travel (round trip).
Travel-Local	US\$100 per day is budgeted per day for local travel associated with organizing workshops/training. An estimated 50 days of local travel is allocated the Project Development Officers and 5 days allocated for stakeholder engagement related to identifying climate risk and gaps in the legislative framework.
Equipment	US\$5000 is budgeted for the purchase of equipment necessary to collect and update database.
Office Supplies	US\$3000 is budgeted for office supplies over the 2 years.
Workshop/Training (Project Development)	As part of stakeholder consultation 5-day workshops with 20 persons each at US\$8,000 per workshop will be organized. It is assumed that there will be no international travel associated with these training since the consultant(s)/Project Development Officers who will be facilitating the workshops will be in Grenada.
Workshop/Training (Climate Risk and Gaps in the Legislative Framework)	The consultative process for the engagement of stakeholders and gathering of information related to identifying climate risk and gaps in the legislative framework is budget at US\$4,000. It is expected that consultative process will take the form of interviews and focus groups sessions, with consideration for gender.
Audio Visual & Printing	A fix amount of US\$3,000 is budgeted for Audio and Visual and Printing associating with stakeholder engagement activities including the workshop/training material printing and distribution.
Professional Services – Companies/Firm	Professional Service for reputable individual to develop a data gathering and dissemination strategy for the PPMDU. This consultancy is budgeted to last for 30 working days over 3 months at US\$300 per working day. The monies budget is only associated with one deliverable, the Data gathering and dissemination strategy developed (Strategy must include communication/reporting between PPMDU and DETC) with must be completed 12 months after first disbursement. The other deliverables will be the responsibility of the PPMDU. The consult is only expected to develop the strategy and some communication products but the PPMDU will roll-out the strategy, hence why several other deliverables associated with this activity.
Consultants for the PPMDU	Two Project Development Officers will be contracted for 2 years (245 work days per year) at a rate of US\$185 per day. These consultants will carry out activites 2.3.1 and 4.3.1, which include: raising awareness about the newly established PPMDU; developing a data gathering and dissemination strategy for the PPMDU; implementing information gathering and dissemination mechanism; conducting stakeholder consultations related to project that are being developed; training on the development and implementation of GCF projects with a wide cross-section of stakeholders; advancing project ideas, including at least one identified in the Readiness Project titled, "Climate Resilient Cities: Grenada", as well as others aligned with the National Adaptation Plan (NAP), INCDs, International priorities and commitments, Government priorities as outlined in national policy document and strategies plans etc. (Project Bank); and, reviewing and making recommendations on no objection for national and regional projects submitted by development partners and conduct performance evaluation of staff assigned to the unit to understudy the consultants.

Budget Categories
Audio Visual & Printing
Audit Fee
Consultant - Individual - International
Consultant - Individual - Local/Regional
Professional Services – Companies/Firm
IT Equipment
Office Supplies
Travel - International
Travel – Local
Workshop/Training
Focus Group

Indicate additional budget categories

5.2 Procurement Plan

For goods, services, and consultancies to be procured, please list the items, descriptions in relation to the activities in Section 3, estimated cost, procurement method, relevant threshold, and the estimated dates. Please include the procurement plan for at least the first tranche of disbursement requested below and provide a full procurement plan for the entire duration of the implementation period if available at this stage.

Item	Item Description	Estimated Cost (US\$)	Procurement Method	Thresholds (Min-Max monetary value for which indicated procurement method must be used)	Estimated Start Date	Projected Contracting Date
Goods and Non-Consulting Services						
IT Equipment	(2) laptop, (1) harddrive, Software	5,000	Invitation to Quote (ITQ)	<25,000 (items less than threshold)		
Office Supplies	Stationary	3,000	Invitation to Quote (ITQ)	<25,000 (items less than threshold)		
Workshop/Training/Local Travel	Workshop Venue and Equipment, Training Material, Catering and Ground Transportation (associated with 3 workshops)	31,500	Invitation to Quote (ITQ)	<25,000 (items less than threshold)		
International Travel	Travel to and from Grenada	8,500	Invitation to Quote (ITQ)	<25,000 (items less than threshold)		
Sub-Total (US\$)		48,000				
Consultancy Services						
One Consultant - Individual - Local/Regional	Consultant to provide support to DETC, the NDA, to manage the mobilization of financial resources for climate change and disaster management projects in line with Grenada's fiscal regulations. Sepcialist in Resource Mobilization Strategy for Climate Change Investments, Public Finance Management and Economics as well as to advise the National Focal Point (NFP) to the UNFCCC in the Ministry w.r.f. Climate Change. Sepcialist in The consultant must have a working knowledge of the UNFCCC processes. Contract duration 2 years, 100%	90,650	NICQ/IICQ	>50,001	April, 2019	
One Consultant - Individual - Local/Regional	Reputable Individual to develop a data gathering and dissemination strategy for the PPMDU.	9,000	NICQ	<50,000	June, 2019	
One Consultant - Individual - Local/Regional	Project Development Offcier (24 Months Contract)	90,650	NICQ/IICQ	>50,001	April, 2019	
One Consultant - Individual - Local/Regional	Project Development Offcier (24 Months Contract)	90,650	NICQ/IICQ	>50,001	April, 2019	
One Project Manager- Individual - Local/Regional	Project Manager (24months Contract)	19,200	NICQ	<50,000	January, 2019	
Auditor(s) (two Audits)	Audits to be completed on the project each year of the project.	4,000	NICQ	<50,000	January, 2020 & January, 20121	
Sub-Total (US\$)		280,950				

(1) Goods and Works: ICB: international competitive bidding; NCB: national competitive bidding; CPP: Community Participation in Procurement; (2) Consulting Firms: QCBS: quality and cost-based selection; QBS: quality-based selection; FBS: selection under a fixed budget; LCS: least-cost selection; CQS: selection based on the Consultant's Qualifications; SSS: single-source selection. Individual Consultants: NICQ: national individual Consultant selection based on qualifications; IICQ: international individual Consultant selection based on Qualifications.