

Readiness Proposal

with GIZ for Grenada

13 June 2017 | NDA Strengthening & Country Programming



GREEN
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Readiness and Preparatory Support Proposal

How to complete this document?

- A [readiness guidebook](#) is available to provide information on how to access funding under the GCF Readiness and Preparatory Support programme. It should be consulted to assist in the completion of this proposal template.
- This document should be completed by National Designated Authorities (NDAs) or focal points with support from their delivery partners where relevant.
- Please be concise. If you need to include any additional information, please attach it to the proposal.
- Information on the indicative list of activities eligible for readiness and preparatory support and the process for the submission, review and approval of this proposal can be found on pages 11-13 of the guidebook

Where to get support?

- If you are not sure how to complete this document, or require support, please send an e-mail to countries@gcfund.org. We will aim to get back to you within 48 hours.
- You can also complete as much of this document as you can and then send it to countries@gcfund.org. We will get back to you within 5 working days to discuss your submission and the way forward.

Note: Environmental and Social Safeguards and Gender

Throughout this document, when answering questions and providing details, please make sure to pay special attention to environmental, social and gender issues, in particular to the situation of vulnerable populations, including women and men. Please be specific about proposed actions to address these issues. Consult page 4 of the readiness guidebook for more information.

SECTION 1: SUMMARY	
1. Country submitting the proposal	<p>Country name: Grenada</p> <p>Name of institution (representing National Designated Authority or Focal Point): Ministry of Economic Development</p> <p>Division of Economic & Technical Cooperation (DETC), Planning, Trade, Cooperatives & International Business</p> <p>Name of official: Mr. Fitzroy James Position: Director of DETC Name of official: Mr. Titus Antoine Position: Project Officer of DETC</p> <p>Telephone: +1473 440 2928/ +1473 440-2731/+1473 440-2732 Email: fitzroyjames@gmail.com</p> <p>Full Office address: Ministry of Finance & Ministry of Economic Development Financial Complex Carenage St. George's Grenada, West Indies Fax: 1-473-440-4115</p>
2. Date of initial submission	28/02/2017
3. Last date of resubmission (if applicable)	DD/MM/YYYY
4. Which entity will implement the Readiness and Preparatory Support project? (Provide the contact information if entity is different from NDA/focal point)	<p><input type="checkbox"/> National Designated Authority <input checked="" type="checkbox"/> Delivery partner <input type="checkbox"/> Accredited entity</p> <p>Name of Institution: Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ) GmbH</p> <p>Name of official: Dieter Rothenberger Position: Head of German-Grenadian Pilot Programme Telephone: +1473 534 8000 Email: dieter.rothenberger@giz.de</p> <p>Full Office address: Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ) GmbH, P.O. Box 3269, Ministerial Complex, Botanical Gardens, St George's, Grenada, WI.</p> <p>Name of official: Silke Spohn Position: Head of Climate Finance Readiness Programme Telephone: +49 228 4460 1579 Email: silke.spohn@giz.de</p> <p>Full Office address: Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ) GmbH, Friedrich Ebert Allee 40, 53113 Bonn, Germany</p>
5. Title of the Readiness and Preparatory Support Proposal	Getting Grenada GCF-Ready (3G) - Establishing and strengthening the National Designated Authority (NDA) and development of a strategic framework for engagement with the Fund, including the preparation of a country programme
6. Brief summary of the request (200 words)	As a small island in the south-eastern Caribbean Sea, Grenada is particularly vulnerable to the adverse effects of climate change. Extreme events such as hurricanes are likely to

become more intense in the future. Two major droughts occurred in the past years with substantial adverse effects on water resources and the agriculture sector. Projections indicate a strong likelihood of reduced annual rainfall as well as rising sea level. Given its high vulnerability to the impacts of climate change, and its very limited fiscal space to finance adaptation or mitigation by itself (Grenada currently is under an IMF Structural Adjustment Programme), Grenada has to rely on external funding for all major climate change relevant projects it needs to implement in the coming years and decades. In this context, Grenada is positioning itself to access the Green Climate Fund (GCF). Grenada's request for support from the GCF's readiness and preparatory programme is aimed in particular for building the capacity of the Nationally Designated Authority (NDA) and the development of a country programme. **The main objective of this readiness support is to enhance the capacity of the NDA to effectively coordinate with line ministries, statutory bodies and development partners on accessing the Fund and jointly with the Climate Change Focal Points in the relevant line ministries to develop strategic frameworks, including preparation of a country programme, and effective stakeholder engagement processes.**

Readiness area 1: Strengthening the NDA

Enhancing the capacity of the NDA to perform its roles and responsibilities through effectively coordinate with line ministries, statutory bodies and development partners on accessing the Fund. The NDA is seeking support to undertake the following tasks:

- The building of capacity within the NDA in order for the NDA to steer line ministries/statutory bodies in the preparation of GCF compliant concept notes and project proposals.
- The development of a framework for the NDA to exercise oversight on the implementation of future GCF portfolio in Grenada.
- The Establishment, dissemination and implementation of a No Objection Procedure in line with the requirements of the GCF.
- The development of operational guidelines that would complement the existing operations manual of the NDA but be in line with the Fund's requirements at the same time.
- The preparation and execution of a communication strategy and action plan to engage key stakeholders as well as the general public on the Fund's purpose, progress and accessibility.

Readiness Area 2: Strategic frameworks with the Fund / Country programme

Developing strategic frameworks, including preparation of a country programme, and effective stakeholder engagement processes. The NDA is seeking support to undertake the following tasks:

- The development and implementation of a mechanism for key stakeholder consultation with respect to project ideas, concepts and proposals to be submitted to the Fund.
- Design and development of a dedicated, interactive website for the NDA, with corresponding capacity building for the NDA and relevant staff, to effectively communicate and share information (e.g. climate financing data, related policies, studies, sectoral strategies, project documents etc.) with national and international stakeholders in a sustainable manner.
- Development of Grenada's GCF country programme, including concise concept notes for key priorities, which would be consistent with the identified national climate change policies, strategies, plans and priorities as well as Grenada's National Sustainable Development Plan 2030.

7. Total requested amount and currency	up to 336.558 EUR
8. Anticipated duration	01/07/2017 - 31/12/2018 (18 months)
9. Is the country receiving other Readiness and Preparatory Support related to the GCF?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	<p>If yes, please attach the relevant scope of work, and briefly (100 words) describe the scope of support provided by other institutions</p> <p>During the past year, first Readiness support on a limited scale was provided as part of two projects implemented by GIZ by the German Government. The support focussed on certain actions, e.g. an assessment of its state of climate finance readiness, capacity building measures as well as in-depth gap analysis for potential National Implementing Entities to be accredited with the Green Climate Fund. The support requested in this proposal would build on the existing findings. The results of this support measures provide a longer and sustained capacity development program to define tools and structures to coordinate GCF activities in Grenada and ensure their effectiveness.</p>

SECTION 2: COUNTRY READINESS LOGICAL FRAMEWORK

Please complete the table below, which enables a country to assess its readiness for the GCF and set targets for strengthening its readiness, including proposed outputs and activities to improve the country's readiness. For further guidance on completing the table, please refer to the guidebook "Accessing the GCF Readiness and Preparatory Support Programme".

OUTCOMES ¹	BASELINE	TARGET	ACTIVITIES <i>(including key outputs or deliverables where applicable)</i>
1. Country capacity strengthened	2	6	Enhancing the capacity of the NDA to effectively coordinate with line ministries, statutory bodies and development partners on accessing the Fund.
<i>1.1 NDA/focal point lead effective coordination mechanism</i>	<input type="checkbox"/> 0 x <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 x <input type="checkbox"/> 2	<p>1.1.1. The development of operational guidelines that complement the existing operations manual of the NDA but are in line with the Fund's requirements at the same time.</p> <p>1.1.2. The preparation and execution of a communication strategy and action plan to engage key stakeholders as well as the general public (with special emphasis on the inclusion of women and vulnerable groups) on the Fund's purpose, progress and accessibility.</p> <p>1.1.3. The building of capacity within the NDA and the UNFCCC Focal Point as well as the National Climate Change Committee (NCCC) and other Climate Change Focal Points in relevant line Ministries (e.g. Agriculture, Health, Infrastructure, Energy, Ministry of Social Development etc.) in order for the NDA to facilitate the process for the preparation of GCF compliant concept notes and project proposals which will be prepared by accredited entities and line Ministries and ensure their alignment with national programmes and priorities.</p> <p><u>Outputs:</u></p> <p>1.1.3.1. GCF communication strategy developed and executed</p> <p>1.1.3.2. Operational guidelines developed and applied</p> <p>1.1.3.3. At least one fully trained GCF officer within the NDA</p> <p>1.1.3.4. At least five fully trained Climate Change Focal Points in relevant Ministries and/ or the NCCC</p>
<i>1.2 No objection procedure established and implemented</i>	x 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 x <input type="checkbox"/> 2	1.2.1 Development of country specific criteria to screen projects and to ensure consistency with national climate strategies and plans and country-driven approaches in order to provide No Objection. The criteria and the No Objection procedure will be developed in

¹ Based on decisions: B.08/10, annexes XII, XIII & XIV; B.08/11; B.11/10, annex I; B.12/20, annex I

			<p>a consultative process, including the National Climate Change Committee, the UNFCCC Focal Point, and the Climate Focal Points of relevant line ministries, the private sector and civil society. The NDA will be in the lead for this process.</p> <p><u>Outputs:</u></p> <p>1.2.1.1. NDA/NIE engagement procedures 1.2.1.2. Screen projects criteria developed 1.2.1.3. No objection procedure</p>
1.3 Bilateral agreements between the country and the GCF executed	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
1.4 Monitoring, oversight and streamlining of climate finance	<input type="checkbox"/> 0 x <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 x <input checked="" type="checkbox"/> 2	<p>1.4.1. The development of a framework for monitoring and evaluation future GCF funded projects in Grenada. The inclusive and gender-responsive M&E framework is to be used by the respective implementing entities, and which supports the task of the NDA to provide oversight on the overall portfolio and report towards the fulfilment of national priorities.</p> <p><u>Output:</u></p> <p>1.4.1.1. M&E framework for GCF projects established</p>
2. Stakeholders engaged in consultative processes	2	6	Developed strategic frameworks, including preparation of a country programme, and effective stakeholder engagement processes.
2.1 Stakeholders engaged in consultative processes	<input type="checkbox"/> 0 x <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 x <input checked="" type="checkbox"/> 2	<p>2.1.1 The development and implementation of a mechanism for key stakeholder consultation with respect to project ideas, concepts and proposals to be submitted to the Fund and linking those to the work of the UNFCCC Focal Point as well as other Climate Change Focal Points in relevant line Ministries.</p> <p>2.1.2 Capacity building for stakeholders to enable an effective gender-sensitive and socially inclusive consultation, media and PR to raise awareness.</p> <p>2.1.3 The design and development of a web portal for Grenada's entire climate financing data (policies, studies, sectoral strategies, project documents etc.).</p> <p><u>Output:</u></p> <p>2.1.1.1. Key Stakeholder Consultation Mechanism established 2.1.2.1. Stakeholders are enabled to provide effective consultation, and to raise awareness</p>

			2.1.3.1. User friendly Climate Change centre/ web porta established and NDA capacity for its sustainable management developed.
2.2 Country programmes, including adaptation priorities, developed and continuously updated	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	<p>2.2.1. The development of a strategic framework which will be fed into Grenada GCF country programme that would be consistent with the identified national climate change policies, strategies, plans and priorities as well as Grenada's National Sustainable Development Plan 2030. The strategic framework and the country programme will be developed in a national consultative process, including the National Climate Change Committee, the UNFCCC Focal Point, and the Climate Focal Points of relevant line ministries, the gender focal points, private sector and civil society. The NDA will be in the lead for this process.</p> <p><u>Output:</u> 2.2.1.1. Grenada GCF Country programme developed 2.2.1.2. Concise concept notes for key priorities developed</p>
2.3 Stakeholder consultations conducted with equal representation of women	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	<p>2.3.1. Organization of GCF knowledge dissemination events to engage key stakeholders, while ensuring equal participation of women in such events.</p> <p><u>Output:</u> 2.3.1.1. Knowledge dissemination events organised with consideration on gender specific needs to ensure equal participation.</p>
2.4 Annual participatory review of GCF portfolio in the country organized	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	<p>2.4.1 Consultative workshops (including civil society, gender focal points, private sector and public sector) to discuss existing and generate new project ideas for further consideration of funding.</p> <p>2.4.2 Support NDA, NCCC and Climate Change Focal Points of key relevant ministries to screen the project ideas according to the developed criteria and criteria on social inclusion and gender and prepare priority lists for pipeline.</p> <p>2.4.3 Presentation of pipeline to private, public and civil society stakeholders by the NDA.</p> <p>2.4.4 Submission of pipeline to Cabinet of Ministers.</p> <p><u>Output:</u> 2.4.4.1. Project idea pipeline based on project screening criteria developed and submitted to Cabinet.</p>

SECTION 3: ADDITIONAL INFORMATION

Please explain how this grant will help deliver on the country's readiness needs as identified above and build on institutions, processes or existing work already underway in the country (*maximum 500 words*)

Climate change and disaster resilience continue to be priorities of the Government and there are investment opportunities that would meet the criteria of the fund. The National Adaptation Plan (NAP) and the (Intended National Determined Contribution (INDC) are Grenada's main mechanisms for accessing external climate finance. With the NDC and the NAP documents Grenada is ready to implement adaptation and mitigation projects, but and has yet to set up the appropriate framework and structures to implement those.

Since its first initial communication in 1998, Grenada has taken many important steps towards climate resilience. For example, a climate change policy and action plan (2007-2011) has been developed, priority sectors have been identified, a Pilot Programme for Climate Resilience (PPCR) is in operation, the National Climate Change Committee has been re-activated in 2014 and selected local adaptation plans have been developed (e.g. in the northern town of Sauteurs). In addition, Grenada was able to attract a number of bi- and multilateral climate change adaptation projects which have started mainstreaming of climate change in national policies, the development and application of the Caribbean Climate Online Risk Assessment Tool (CCORAL), some larger pilot projects and also some community-based adaptation activities.

A National Designated Authority (NDA) for the Green Climate Fund (GCF) — the Economic and Technical Cooperation Division in the Ministry of Labour, Economic Development, Trade & Planning — has been established to coordinate activities regarding the Green Climate Fund and to develop guidelines for GCF project development and national consultations. The Environment Division provides technical support to the NDA.

In 2015 and 2016, the Government of Grenada conducted a Climate Expenditure Labelling analysis for all capital projects². The result showed that in 2016, 24% of the project budgets which are dedicated to climate change mitigation and adaptation (26% of the overall capital budget 2016) are funded with domestic resources. Grenada is however highly dependent on external sources of finance. It is a highly indebted country with a debt- Gross Domestic Product (GDP) ratio of 107%, projected fiscal deficits over the period 2014 to 2017, and modest growth in the economy, leaving the government with limited fiscal space to incur additional debt, whether concessional or not. Grenada's current Memorandum of Understanding with the International Monetary Fund (IMF) imposes restrictions on accessing debt finance from third parties, which may in turn limit access to adaptation finance as concessional loans.

² It needs to be noted that the labelling exercise for the budget 2015 was a first preliminary assessment with methodological constraints due to limited project information available. For the budget 2016, more information was available. However, only 82% of the budget was labelled since not all project officers submitted a (sufficiently) filled-out project information sheet.

SECTION 4: BUDGET, PROCUREMENT, IMPLEMENTATION AND DISBURSEMENT

4.1. BUDGET SUMMARY

GIZ is a company under private law, owned by the German Federal Government. With regard to commissions from German public bodies, GIZ is subject to a number of requirements under price law, such as Regulation 30/53 and the Guiding Principles for Pricing on the Basis of Prime Costs (LSP). The accounting system (financial accounting, cost accounting and invoicing) is organised in accordance with these requirements. This ensures the transparent allocation of costs in accordance with the causality principle, as required under price law. Under public price law, GIZ is further only allowed to pass on reasonable costs (of an efficiently managed enterprise) to its clients.

GIZ Personnel	99.532€	Includes national and international experts on climate change, project management and internal services that can be directly allocated to the project activities. GIZ hires national and international staff with profound knowledge on climate change readiness to ensure a high quality of consultancy towards our partners. GIZ staff aims at building long term working relationships with the partners to maximize outcomes and to further develop strategies for the future through a steady minimum number of contact points. Internal GIZ staff will furthermore ensure high quality results from and coordinate with external consultants.
External Consultants	143.060€	External Procurement - see procurement schedule below
Goods and Non-Consulting Services	27.000€	External Procurement - see procurement schedule below
Travel Costs & Running Office Costs	21.800€	
Contingency Costs (5%)	14.570€	
Delivery Partner Fee (10%)	30.596€	
TOTAL	336.558€	

OUTCOMES	ACTIVITIES	TOTAL COST
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(same as in section 2)	(same as in section 2)	(per outcome)
1.1 NDA/focal point lead effective coordination mechanism	1.1.1. The development of operational guidelines that complement the existing operations manual of the NDA but are in line with the Fund's requirements at the same time.	48.783€
	1.1.2. The preparation and execution of a communication strategy and action plan to engage key stakeholders as well as the general public on the Fund's purpose, progress and accessibility.	
	1.1.3. The building of capacity within the NDA and the UNFCCC Focal Point as well as the National Climate Change Committee (NCCC) and other Climate Change Focal Points in relevant line Ministries (e.g. Agriculture, Health, Infrastructure, Energy, etc.) in order for the NDA to facilitate the process for the preparation of GCF compliant concept notes and project proposals which will be prepared by accredited entities and line Ministries and ensure their alignment with national programmes and priorities.	
1.2 No objection procedure established and implemented	1.2.1. Development of country specific criteria to screen projects and to ensure consistency with national climate strategies and plans and country-driven approaches in order to provide No Objection. The criteria and the No Objection procedure will be developed in a consultative process, including the National Climate Change Committee, the UNFCCC Focal Point, and the Climate Focal Points of relevant line ministries, the private sector and civil society. The NDA will be in the lead for this process.	32.472€
1.4 Monitoring, oversight and streamlining of climate finance	1.4.1 The development of a framework for monitoring and evaluation future GCF funded projects in Grenada. The M&E framework is to be used by the respective implementing entities, and which supports the task of the NDA to provide oversight on the overall portfolio and report towards the fulfilment of national priorities.	34.102€
2.1 Stakeholders engaged in consultative processes	2.1.1. The development and implementation of a mechanism for key stakeholder consultation with respect to project ideas, concepts and proposals to be submitted to the Fund and linking those to the work of the UNFCCC Focal Point as well as other Climate Change Focal Points in relevant line Ministries.	78.883€
	2.1.2. Capacity building for stakeholders to enable an effective consultation, media and PR to raise awareness.	
	2.1.3. The design and development of a web portal for Grenada's entire climate financing data (policies, studies, sectoral strategies, project documents etc.).	
2.2 Country programmes, including adaptation priorities,	2.2.1. The development of a strategic framework which will be fed into Grenada GCF country programme that would be consistent with the identified national climate change policies, strategies, plans and priorities as well as Grenada's National Sustainable Development Plan 2030. The strategic framework and the country programme will be developed in a	



developed and continuously updated	national consultative process, including the National Climate Change Committee, the UNFCCC Focal Point, and the Climate Focal Points of relevant line ministries, private sector and civil society. The NDA will be in the lead for this process.	39.657€
2.3 Stakeholder consultations conducted with equal representation of women	2.3.1. Organization of GCF knowledge dissemination events to engage key stakeholders, while ensuring equal participation of women in such events.	39.657€
2.4 Annual participatory review of GCF portfolio in the country organized	2.4.1. Consultative workshops (including civil society, private sector and public sector) to discuss existing and generate new project ideas for further consideration of funding.	63.003€
	2.4.2. Support NDA, NCCC and Climate Change Focal Points of key relevant ministries to screen the project ideas according to the developed criteria and prepare priority lists for pipeline.	
	2.4.3. Presentation of pipeline to private, public and civil society stakeholders by the NDA.	
	2.4.4. Submission of pipeline to Cabinet of Ministers.	
TOTAL		336.558€

4.2. Procurement Plan

For goods, services, and consultancies to be procured, please list the items, descriptions in relation to the activities in section 2, estimated cost, procurement method, relevant threshold, and the estimated dates. Please include the procurement plan for at least the first tranche of disbursement requested below. Also, please feel free to replicate this table on Excel spreadsheet if needed.

The following process thresholds shall apply to **national/regional** procurement of goods and services

Procurement of Goods and Services	
Method	Threshold
Direct procurement by Project (no tender)	≤ 1.000 Euro
Restricted tendering by Project*	1.000 – 2.500 Euro
Restricted tendering by GIZ bureau*	1.000 – 20.000 Euro
Tender by publication (by GIZ bureau in coordination with headquarter)*	> 20.000 Euro
Local Subsidies (by GIZ bureau)	≤ 50.000 Euro
Local Subsidies (by GIZ bureau in coordination with headquarter)	> 50.000 Euro
EU wide procurement (by GIZ bureau in coordination with headquarter)	≥ 209.000
Hotel, Event and Catering by Project	≤ 20.000 Euro

*Exceptions possible under GIZ rules and regulations for direct awards without tendering if only one supplier/consultant/company is suitable or order placement is objectively urgent.

The following process thresholds shall apply to **international** procurement of goods and services

Procurement of Goods and Services	
Method	Threshold
Direct procurement by GIZ headquarter	≤ 1.000 Euro
Restricted informal tendering by GIZ headquarter*	1.000 – 50.000 Euro
Formal tendering by GIZ headquarter*	≥ 50.000 Euro
EU wide procurement by GIZ headquarter	≥ 209.000
Hotel, Event and Catering by GIZ headquarter	≤ 20.000 Euro

*Exceptions possible under GIZ rules and regulations for direct awards without tendering if only one supplier/consultant/company is suitable or order placement is objectively urgent.

ITEM	ITEM DESCRIPTION	ESTIMATED COST €	PROCUREMENT METHOD	THRESHOLDS <i>(Min-Max monetary value for which indicated procurement method must be used)</i>	ESTIMATED START DATE	PROJECTED CONTRACTING DATE
Goods and Non-Consulting Services						
Equipment & materials	Equipment and Materials for workshops, trainings & advisory (stationary for workshops etc.)	5.000€	Direct Procurement	≤ 1.000€	07/ 2017	n.a.
Direct costs	Other services (incl. publications, translations, printing)	7.000€	Restricted tendering	1.000 – 20.000€	09/ 2017	n.a.
Direct costs	Costs for workshops with the partners (Venue, Catering, WiFi etc.)	15.000€	Restricted tendering	1.000 – 20.000€	08/ 2017	n.a.
SUB-TOTAL (€)		27.000€				
Consultancy Services						
Int. / Reg. 1	Expert for NDA development, stakeholder process guidance, M&E, action plan and standard templates	40.200€	Tender by publication	> 20.000€	08/ 2017	10/2017
Int./ Reg. 2	Expert for GCF country program development, CB and project development support	32.520€	Tender by publication	> 20.000€	08/ 2017	10/2017
Int. 3	Two Audits, due to 18 months project duration	9.500€	Restricted tendering	1.000 – 20.000€	09/2018	11/2018
National/ Reg. 1	Expert for establishment of NDA	36.020€	Tender by publication	> 20.000€	08/ 2017	10/2017
National 2	IT and development of an interactive web portal for climate finance data & training	25.000€	Tender by publication	> 20.000€	01/2018	03/2018
SUB-TOTAL (€)		143.060€				
TOTAL COST (€)						170.060

4.3. Disbursement schedule

Specify the proposed schedule for requesting disbursements from the GCF, including amounts and periodicity. For amounts requested, keep to multiples of USD 5,000, and for periodicity, specify whether it's quarterly, bi-annually or annually only.

GIZ is selected by the NDA as a delivery partner for the requested readiness support and will manage, under the leadership and guidance of the NDA, the implementation of the programme. Activities under the two areas will be implemented within an 18 month period. Activity areas 1 and 2 will be implemented within 18 months starting in July 2017 and are expected to be completed in December 2018.

GIZ shall be entitled to submit two Requests for Disbursement each year. Each such Request for Disbursement must be submitted to the Fund together with the relevant Portfolio Report, or within 30 days of receipt of the relevant Portfolio Report. GIZ shall, in respect of each Approved Readiness Support Proposal, be entitled to submit a separate request for disbursement ("Interim Request for Disbursement") within 30 days of the date of the relevant approval by the Fund.

The disbursement will be made directly to GIZ, the delivery partner, in two tranches:

- The first disbursement, which amounts to €88.202,00 (including a €8.018,00 GIZ fee) will be transferred upon the submission of an inception report from GIZ, the delivery partner, in form and substance acceptable to the Fund, which includes detailed implementation plan, procurement plan and budget;
- The second tranche of €132.906,00 (including a €12.082,00 GIZ fee) will be transferred upon submission of an interim progress report and financial report, including an expenditure statement after 7 months of implementation; and
- The final disbursement of € 115.449,00 (including a €10.495,00 GIZ fee) will be made upon submission of a completion report and financial report, including an audited expenditure statement.

4.4. Additional information

This box provides an opportunity to include further explanations related to the budget, procurement plan and disbursement schedule, including any details on the assumptions to justify costs presented in the budget.

Risks	Level	Risk reduction method
Delay in recruiting national and international experts	Low	Upon endorsement of the work plan, procurement will be facilitated by the NDA to promptly recruit national and international experts having experiences in high quality advisory services in readiness capacity building in line with GCF requirements.
Changing roles of SC for the GCF, NDA and others.	Low	Evaluate the institutional changes and continue to maintain the flexibility and adjust to any new functions and/or missions.
Lack of coordination among stakeholders	Medium	The readiness project will prioritize outreach and give technical support and advice on the basis of clearly identifying functions, responsibilities and associated areas.
Changing requirements/priorities of GCF	Low	Readiness project will focus on 'no-regret' actions to strengthen institutional effectiveness for climate change response.
Know how transfer after national election	Low	The parliamentary election might happen during the implementation of the project and there is a slight risk of a disruption in the operational procedure. Project will build capacity on organisational levels and with various stakeholders, as well as ensure sound documentation to ensure continuity.

SECTION 5: IMPLEMENTATION ARRANGEMENTS AND OTHER INFORMATION

2.3. Please attach an “implementation map” or describe how funds will be managed by the NDA/FP or delivery partner

If the entity implementing the readiness support is not an accredited entity of the GCF, please complete the [Financial Management Capacity Assessment \(FMCA\)](#) questionnaire and submit it with this proposal.

key actors	Institutional function	Role within the project
National Climate Change Committee	Steering Committee on National Climate Change Process	<ul style="list-style-type: none"> • Provision of strategic direction • Sign off
NDA	Located in the Ministry of Finance & Energy	<ul style="list-style-type: none"> • Main actor in capacity development • coordination • Sign off
Environmental Division	Host of UNFCCC Focal Point within the Ministry of Education, HR & the Environment	<ul style="list-style-type: none"> • technical advise • Ensure linkage with National Climate Change Policy and programs
GIZ	Implementing Agency & Delivery Partner	<ul style="list-style-type: none"> • channels funding • technical advisory • Sub contracts of consultants & procurement
Other sectoral Ministries	National Consultation on ministerial level	<ul style="list-style-type: none"> • Engagement in various sector and bilateral consultations • National consultation
Civil Society, private sector, the public	Private & public stakeholders	<ul style="list-style-type: none"> • Engagement in various sector and bilateral consultations • National consultation

Readiness and preparatory support in all the requested areas will be implemented through Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ) GmbH in close cooperation with the National Designated Authority and other relevant stakeholders.

In collaboration with NDA, GIZ will competitively procure services and goods that are consistent with GIZ and the Government of Grenada policies:

- Consultants will be procured on a competitive basis and according to detailed terms of reference.
- For items such as venues and travel for stakeholder workshops, a shopping method will be used at all times seeking competitive quotes above pre-set thresholds.

2.4. Other relevant information

This box provides an opportunity to include any important information you wish to bring to the attention of the GCF Secretariat, but did not have an opportunity to provide in the sections above.

Grenada, being one of the world's most vulnerable countries is already experiencing the impacts of climate change, which are predicted to become more serious in the years to come. In addition, given the limited fiscal space of Grenada as a country which is currently implementing a Structural Adjustment Programme in cooperation with the IMF, efficient and effective managing of the access to and effective use of climate finance is of utmost importance to ensure the development of projects which help to implement the national climate change priorities.

The requested support from the GCF Readiness Support Programme will directly assist Grenada to address pressing resource gaps for technical assistance requirements that fall outside of existing support from the existing projects and programmes mentioned above, which until now placed a stronger focus on NIE development. The strengthening of the NDA will impact the way the NDA interacts with the GCF, but in particular with other stakeholders in the country and, and hence improve the national ownership of the GCF processes in Grenada. It will also help to improve the quality of developed project proposals and ensure efficient and effective project identification, selection and writing processes. This leads to reduced transaction costs and less unnecessary human resource input in these processes. Given the highly limited staff human resources in the relevant ministries and organisations, this is a key factor to ensure successful implementation of GCF processes in the country without overstressing the capacities.

Support from the GCF Readiness Programme will play a key role to improve the ability of the NDA to strengthen national coordination of climate change interventions, and will contribute to the capacity of Grenadian partners to comply with internationally agreed requirements for climate finance through deepened country ownership and strengthened national systems and procedures.

The NDA welcomes readiness support by the GCF to enable a well-structured and flexible capacity building within the next two years. This approach and duration will allow sufficient time to measure quantitative and qualitative improvements in the capacity of national stakeholders to effectively manage GCF finance, inclusive of readiness finance and potential program/project investments that may be supported by the GCF, and to consolidate management and oversight systems in accordance with GCF requirements and national government procedures. This timetable will also enable the delivery of sustained capacity building, along-side with other donor's direct NIE support, to select the appropriate NIE which would seek accreditation by the GCF. It is anticipated that the selected NIE will seek fit-for-purpose accreditation as a NIE beginning of 2017.

As noted above, two projects financed by the German government and implemented by GIZ, one of which is implemented in cooperation with the CDB and CCCCC, are assisting efforts by the Government of Grenada to improve its readiness for climate finance through trainings and activities on climate mainstreaming. While this assistance has taken the form of short-term consultancies and technical inputs, there is an absence of a longer and sustained capacity building program on the specifics of the Green Climate Fund.